

State of Alabama Alabama Department of Corrections



301 S. Ripley Street P. O. Box 301501 Montgomery, AL 36130

March 29, 2005

ADMINISTRATIVE REGULATION NUMBER 600

OPR: TREATMENT

MENTAL HEALTH SERVICES

I. GENERAL

This Alabama Department of Corrections (ADOC) Administrative Regulation (AR) establishes the responsibilities, policies and procedures for inmates requiring mental health services while incarcerated.

II. POLICY

It is the policy of the ADOC to:

- A. Provide clinically effective mental health services, while fostering an environment of trust and cooperation.
- B. Ensure a continuity of care when an inmate is admitted into or released from the system.
- C. Ensure a consistency in the frequency of care.
- D. Delineate mental health services, so staff can competently perform job assignments.
- E. Facilitate the coordination of efforts in the provision of mental health care between ADOC psychological services staff and contract mental health staff.
- F. Permit an on-going system-wide analysis of inmate mental health needs.
- G. Fundamentally appreciate, understand and be aware of the legal context of services:
 - 1. The right to access care.
 - 2. The right to receive treatment as ordered.
 - 3. The right to a professional medical judgment.

III. DEFINITION(S) AND ACRONYM(S)

Refer to AR 602, Mental Health Definitions and Acronyms, for definitions of the following terms used in this AR:

Continuity of Care

IV. <u>RESPONSIBILITIES</u>

Clinical decisions and actions regarding the mental health treatment provided to inmates are based on the philosophy that medical and mental health decisions should be made for medical and mental health reasons by qualified medical and mental health professionals. The ADOC Director of Treatment will:

- A. Be the final authority for ADOC mental health decisions.
- B. Work cooperatively with institutional administrative staff to:
 - 1. Ensure mutual expectations are understood.
 - 2. Minimize conflicts in implementation.

V. PROCEDURES

- A. Mental Health Services Policies and Procedures apply to all institutions. If an institution is unable to implement a specific procedure, the ADOC Director of Treatment will:
 - 1. Be advised in writing of the need for variance.
 - 2. Provide written approval of the variance for inclusion in the institution's policy manual.
- B. The following policies and procedures are subsumed under the authority of this Regulation, and provide the framework for ADOC mental health services:
 - AR 601 Mental Health Forms and Disposition
 - AR 602 Mental Health Definitions and Acronyms
 - AR 603 Autonomy in Mental Health Decisions
 - AR 604 Confidentiality in Mental Health Services and Mental Health Documentation
 - AR 605 Mental Health Staff Participation in Forensic Evaluations
 - AR 606 Mental Health Quality Improvement Program
 - AR 607 Mental Health Staff Orientation
 - AR 608 Staff Training in Mental Health

- AR 609 Referral to Mental Health Services
- AR 610 Reception Mental Health Screening
- AR 611 Inmate Orientation to Mental Health Service
- AR 612 Reception Mental Health Evaluations
- AR 613 Mental Health Coding and Tracking of Inmates
- AR 614 Intra-System Mental Health Transfers
- AR 615 Psychiatric Evaluations
- AR 616 Psychotropic Medication
- AR 617 Psychotropic Medication Administration
- AR 618 Psychotropic Medication Monitoring
- AR 619 Psychotropic Medication and Heat
- AR 620 Emergency Forced Psychotropic Medication
- AR 621 Administrative Review for Involuntary Psychotropic Medication(s)
- AR 622 Treatment Planning
- AR 623 Outpatient Mental Health Services
- AR 624 Mental Health Segregation Rounds
- AR 625 Mental Health Evaluation of Inmates on Segregation Status
- AR 626 Mental Health Consultation to the Disciplinary Process
- AR 627 Outpatient Crisis Intervention Services
- AR 628 Inmate Discharge Planning
- AR 629 Inmate Suicide Prevention Program
- AR 630 Mental Health Watch Procedures
- AR 631 Use of Physical Restraints for Mental Health Purposes
- AR 632 Intensive Psychiatric Stabilization Units

- AR 633 Residential Treatment Units
- AR 634 Transfer to a State Psychiatric Hospital
- AR 635 Mental Health Documentation Format and Charting Guidelines
- AR 636 Mental Health Services Monthly Reporting
- AR 638 Mental Health Observation

VI. <u>DISPOSITION</u>

Refer to AR 601, Mental Health Forms and Disposition

VII. <u>FORMS</u>

Refer to AR 601, Mental Health Forms and Disposition

VIII. SUPERCEDES

This administrative regulation supercedes AR 442, dated April 17, 2001.

IX. PERFORMANCE

This AR is published under the authority of:

- A. Estelle v. Gamble (1976)
- B. Bowling v. Godwin (1977)
- C. Ruiz v. Estelle (1980)
- D. Washington v. Harper (1989)
- E. Langley v. Coughlin (1989)
- F. Farmer v. Brennan (1994)
- G. Madrid v. Gomez (1995)
- H. National Commission on Correctional Health Care: Standards for Health Services in Prisons, 2003 (P-I-1)
- I. The Bradley Agreement of August 8, 2000
- J. The Code of Alabama 1975, Section 22-50-11

Donal Campbell, Commissioner